



U.S. DEPARTMENT OF COMMERCE Patent and Traden 2rk Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

| To the Honorable Assistant Commissioner for Patents: | Please record the attached original documents or copy |
|--|---|
| thereof. | |

1. Name(s) of conveying party:

Daniel Frederick Gruhl

2. Name and address of receiving party:

International Business Machines Corporation New Orchard Road Armonk, NY 10504

Nature of conveyance: Assignment

Execution Date:

October 20, 2000

Application number:

5

Docket No.: ARC9-2000-0128-US1

If this Assignment is being filed together with a new application, enter the execution date of the application (date Declaration signed): (1) October 20, 2000

Name and address of party to whom correspondence concerning Assignment should be mailed:

John L. Rogitz Rogitz & Associates 750 B Street, Suite 3120 San Diego, CA 92101

- 6. Total number of applications involved:
- 7. Total fee (37 CFR 3.41) = \$40.00
- 8. Charge fee to Deposit Account No. <u>09-0441</u>

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John L. Rogitz
Attorney Name

Signature of Attorney

17/01



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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| was tiled on | | | |
|--|--|--|--|
| as United States Application | Number or PCT International A | pplication Number | |
| and was amended on | | (if applicable). | • |
| I hereby state that I have reviewed | end understand the contents o | f the above identified specification, includi | ng the claims, as amended by any amendment referred to above. |
| I acknowledge the duty to disclose in | nformation which is material to | patentability as defined in 37 CFR §1.56 | |
| application which designated at least | one country other than the Ur | \$365(b) of any foreign application(s) for iited States, listed below and have also id ling date before that of the application on | patent or inventor's certificate, or §365(a) of any PCT Internation entified below, by checking the box, any foreign application for pate which priority is claimed. |
| Prior Foreign Application | n(s): | | Priority Not Claimed |
| (Number) | | untry) | (Day/Month/Year Filed) |
| I hereby claim the benefit under 35 t | JSC §119(e) of any United Sta | tes provisional application(s) listed below: | |
| Provisional Application(s |) : | | |
| | (Application Number) | (Filing | Date) |
| and, insolar as the subject matter of | each of the claims of this ap I acknowledgethe duty to disc | plication is not disclosed in the prior Unit lose information which is material to pate | International application designating the United States, listed below ed States or PCT International application in the manner provided b ntability as defined in 37 CFR §1.56 which became available betwee |
| the first paragraphot 35 USC §112, the filing date of the prior application | and the national or PCT inter | national filing date of this application. | |
| the filing date of the prior application | (Filing Date) | national filing date of this application. | pending, abandoned) |
| the first paragraphor 35 USC 9112, | | national filing date of this application. | pending, abandoned) |
| the filing date of the prior application (Application Number) Power of Attorney: | (Filing Date) | national filing date of this application. (Status - patented, | pending, abandoned) ness in the Patent and Trademark Office connected therewith: |
| the filing date of the prior application Application Number) Power of Attorney: | (Filing Date) (Filing Date) (S) and/or agent(s) to prosecu | national filing date of this application. (Status - patented, | ness in the Patent and Trademark Office connected therewith: |
| the filing date of the prior application (Application Number) Power of Attorney: Thereby appoint the following attorney | (Filing Date) y(s) and/or agent(s) to prosecu | national filing date of this application. (Status - patented, te this application and to transact all busi | |



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Docket No. <u>ARC9-2000-0128-US1</u>

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

| Address all telephone calls to: | Address all correspondence to: | |
|--|--|--|
| John L. Rogitz | John L. Rogitz | |
| (619) 338-8075 | Rogitz & Associates 750 B Street, Suite 3120 San Diego, Californía 92101 | |
| these statements were made with the knowledge that willful false | ledge are-true and that all statements made on information and belief are believed to be true; and further that statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title is may jeopardize the validity of the application or any patent issued thereon. | |
| | | |
| Full name of first inventor: | ANIEL FREDERICK GRUHL | |
| Inventor's signature: | Date: 10(20/2000 | |
| | | |
| Residence: 392 Capitol Village Circle, San Jose, | California 95136 | |
| | , California 95136 | |



ASSIGNMENT

Whereas, I,

DANIEL FREDERICK GRUHL (1)

city of San Jose

County of Santa Clara

State of California

have invented certain improvements in (Title of Invention):

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and executed a Declaration and Power of Attorney for a United States patent application therefor on

(1) October 20, 2000

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM. **Execution by Inventor**

Inventor: DANIEL FREDERICK GRUHL (1)

City/State: $San \sum Se, CA$ Date 10/20/2000